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*Yvette Alvarez-Perez*  
Yvette Alvarez-Perez

*November 10, 2003*  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No. : 10/621,590

Applicant : Peter Emig

Filed : July 17, 2003

Title : New Anthracene Derivatives and Their Use as Pharmaceutical Preparations

Docket No. : 103832-434-NP

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

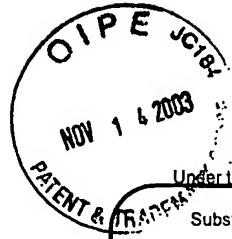
Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings to the attention of the Examiner the documents listed on the attached PTO/SB/08 (substitute for form 1449/PTO). Copies of the listed documents are enclosed. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This Information Disclosure Statement is being filed before the mailing of a first office action, and therefore no fee is necessary. However, the Commissioner is still authorized to charge the required fees, if any, to Deposit Account 06-0923.

Respectfully submitted,

*Eva Tan*

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Nov 1 6 2003

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

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of

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***Complete if Known***

Application Number	10/621,590
Filing Date	July 17, 2003
First Named Inventor	Peter Emig
Art Unit	
Examiner Name	
Attorney Docket Number	103832-434-NP

### Shee

Attorney Docket Number 103832-434-NP

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	2	WO 02/08194 A1	01/31/2002	ZENTARIS AG		
	3	WO 02/08192 A1	01/31/2002	ZENTARIS AG		
	4	WO 97/14685 A1	04/24/1997			
	5	WO 00/59930 A1	10/12/2000	MERCK & CO., INC.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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## Sheets

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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	10/621,590
				<i>Filing Date</i>	July 17, 2003
				<i>First Named Inventor</i>	Peter Emig
				<i>Art Unit</i>	
				<i>Examiner Name</i>	
(Use as many sheets as necessary)				<i>Attorney Docket Number</i>	103832-434-NP
Sheet	2	of	2		

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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